

4 **ULTRAVIOLET LIGHT (254 NM) INACTIVATION OF**
5 **FOODBORNE PATHOGENS ON FOODS AND**
6 **STAINLESS STEEL SURFACES**

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ABSTRACT

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26 *Ultraviolet Light (254 nm) is a U.S. Food and Drug Administration approved nonthermal*
27 *intervention technology that can be used for decontamination of food surfaces. In this study the*
28 *use of Ultraviolet Light (UV) at doses of 0.5 to 4.0 J/cm² to inactivate Salmonella spp., Listeria*
29 *monocytogenes, and Staphylococcus aureus surface-inoculated (10²-10⁵ CFU/g) on the surfaces*
30 *of frankfurters, bratwurst, shell eggs, chicken drumsticks, boneless skinless chicken breasts,*
31 *boneless pork chops, tomatoes, and Jalapeno peppers was investigated. The pathogens*
32 *displayed similar UV sensitivities to foodborne pathogens on individual food products.*
33 *Pathogen reductions ranged from approximately 0.5 log CFU/g on raw meat and poultry to*
34 *almost 4 log CFU/g on tomatoes, while the pathogens were not recovered from stainless steel at*
35 *a UVC dose of 0.4 J/cm². Use of UVC light should be given serious consideration as a*
36 *technology for routine surface decontamination of food contact surfaces and appropriate food*
37 *products.*

PRACTICAL APPLICATIONS

40
41 Ultraviolet light (UVC) is an FDA approved intervention technology that can be used to
42 inactivate pathogenic bacteria in liquid foods and water, food contact surfaces, and food surfaces.
43 This work indicates that UVC would be an effective technology for inactivation of foodborne
44 pathogens on the surfaces of frankfurters and sausages immediately prior to packaging, shell
45 eggs immediately prior to cracking in the production of liquid egg products, and smooth skinned

46 produce such as tomatoes and jalapeno peppers prior to further processing. This work provides
47 pathogen inactivation kinetics for food processors and government regulatory agencies.

48

49 **Keywords: Ultraviolet, UVC, Salmonella, *Listeria*, *S. aureus*.**

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INTRODUCTION

The last in depth analysis of foodborne illness in the U.S. by Mead *et al.* (1999) indicates that consumers suffer with approximately cases of foodborne illness, 325,000 hospitalizations, and 5,000 deaths each year. While improvements have been made in reducing incidence of foodborne illness caused by specific pathogens have leveled off (Denny and McLauchlin 2008; Anonymous 2006, 2008), which indicates that new approaches may be helpful in reducing the risk of foodborne illness.

Ultraviolet light (254 nm-UVC) is an FDA approved technology that can be used for decontamination of food and food contact surfaces (U.S. FDA 2000). UVC irradiation exerts its bactericidal effect by production of cyclobutane pyrimidine dimers and 6-4 photoadducts in the bacterial chromosome (Reardon and Sancar 2005), either killing the bacteria or rendering them unable to reproduce.

While many scientific studies have been conducted to assess the efficacy of UVC for inactivation of foodborne pathogens, many are limited in scope, use UVC sources with limited intensity, or assess the survival of only a single species of pathogenic bacteria on a single food product. The purpose of this study was: (1) to determine the ability of UVC to inactivate multiple foodborne pathogens including *Salmonella* spp., *Listeria monocytogenes*, *Staphylococcus aureus* (2) to examine the log reductions obtained following inoculation of the pathogens on multiple food products including raw meat and poultry, precooked sausages, shell eggs, and fruits and vegetables, and (3) to examine the efficacy of UVC for decontamination of stainless steel surfaces as a food contact surface.

MATERIALS AND METHODS

Foods and Stainless Steel Coupons

Precooked bratwurst, fat-free frankfurters, chicken breasts, chicken drumsticks, boneless skinless pork chops, shell eggs, Roma tomatoes, and Jalapeno peppers were purchased from local retailers or farmers markets. Bead-blasted and electroplated stainless steel coupons were provided by Dr. Carmen Moraru (Cornell University, Ithaca, NY).

Bacteria

Listeria monocytogenes strains H7762, H7962, H7969 were obtained from the Centers for Disease Control and Prevention (Atlanta, GA). *Staphylococcus aureus* strains 25923, 13565, and 14458; *Salmonella* Enteritidis 13076, *S. Typhimurium* and *S. Newport* 6962, *Y. enterocolitica* 51871 were obtained from the American Type Culture Collection (Manassa, VA). Identity of the isolates was confirmed by Gram Stain, followed by analysis with Gram Positive or Negative Identification cards using the Vitek Automicrobic System (bioMerieux Vitek, Inc., Hazelwood MO). The bacterial strains were cultured on Tryptic Soy Agar (TSA) (BBL/Difco, Inc., Sparks, MD) at 37C and maintained at 0-4C, until use.

94 UVC Inactivation of Pathogens

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96 Each bacterial isolate was cultured independently in 30-mL Tryptic Soy Broth (Difco) in
97 baffled 50-mL sterile tubes at 37 °C (150 rpm) for 18 h. Aliquots (333 µl) of each culture were
98 then added to a single volume of Butterfield's Phosphate Buffer to a total volume of 100 mL.
99 All food products were then individually dip inoculated for 10 seconds using sterile polynylon
100 bags (Uline, Inc., Philadelphia, PA) and allowed to dry in a biological safety cabinet for
101 approximately 30 min. A dip inoculation was chosen over a spot inoculation to simulate
102 potential washing or spraying of foods prior to final processing or packaging, and resulted in
103 inoculation levels of 10^2 - 10^5 CFU/cm². For instance tomatoes were inoculated with higher
104 pathogen levels than raw poultry based on initial studies. Following drying the food products
105 were placed in a refrigerator (4C) for approximately 1 hr, and then exposed to UVC light.

106 After UVC irradiation the samples were assayed for CFU's by standard pour plate
107 procedures, which were conducted in a lighted room, to allow for photoreversal of cyclobutane
108 pyrimidine dimers. Fifty-mL of sterile BPB was added to a No. 400 Stomacher bag that
109 contained a food product and shaken manually for 1 min (Sommers and Thayer 2000). The
110 samples were then serially diluted in BPB, using tenfold dilutions, and 1-mL of diluted sample
111 was pour plated using Salmonella selective Hektoen Agar, *Listeria* selective Palcam Agar, and *S.*
112 *aureus* selective Baird-Parker Agar (BD-Difco, Detroit, MI). Shell eggs were inoculated, stored
113 at 25C for 48 hrs, irradiated, rinsed, cracked, the shells macerated in a sterile tube, and the
114 macerated shell incubated with pre-warmed (42C) BPB as described by Musgrove *et al.* (2005),
115 and then serially diluted in BPB. In the case of stainless steel coupons 100 µl of inoculum (10^6
116 CFU/ml) was placed on the surface of the stainless steel coupon, spread on the coupon using a

117 sterile pipet tip, and allowed to dry for 30 minutes in a biological safety cabinet prior to
118 irradiation. Three 1-mL aliquots were plated per dilution. The agar plates were then left in a
119 lighted room at room temperature for approximately 6 h to allow for photo-reactivation of UV
120 induced DNA adducts and resuscitation. The plates were then incubated for 48 h at 37C prior to
121 enumeration. In preliminary experiments growth and incubation of the pathogens at 25-30C or
122 use of tryptic soy agar versus selective media had no effect on the experimental outcome.

123

124 **UVC Irradiation**

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126 A custom made UVC irradiator containing four 18 inch UVC emitting bulbs (Atlantic
127 Ultraviolet, White Plains, NY) made from UV reflective electroplated stainless steel was used.
128 The apparatus delivered a UVC dose rate of 10 mW/cm²/s as determined by calibrated UVX
129 Radiometer (UVP, Inc, Upland, CA) at a distance of 20 cm from the bulbs. It should be noted
130 that a $J = W \times s$. Frankfurters, bratwurst, eggs, chicken drumsticks, tomatoes, and jalapeno
131 peppers irradiated by rotating them $90^\circ \times 4$ times during the exposure to UVC. Relatively flat
132 products including pork chops and boneless skinless chicken breasts were treated on each flat”
133 side, therefore $2 \times 2 \text{ J/cm}^2 = \text{a total UVC dose of } 4\text{J/cm}^2$.

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135 **Statistical Analysis**

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137 Each experiment was conducted independently 3 times. Descriptive statistics and Analysis
138 of Variance (ANOVA) were performed using Microsoft Excel, Microsoft Corp (Redmond, WA).

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RESULTS AND DISCUSSION

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142 Ultraviolet light (UVC-254 nm) is an FDA approved technology that can be used for
143 inactivation of bacteria in liquid foods such as juices, decontamination of air and water (U.S.
144 FDA). While it has been used extensively for these purposes, the use of UVC light for
145 decontamination of actual solid food surfaces has been somewhat limited because it is a non-
146 penetrating form of irradiation. In addition, pathogen inactivation data available in the scientific
147 literature is often limited to single foodborne pathogens on single food products. With the
148 recognition that many contaminations of foods are due to relatively low numbers of bacteria, and
149 that low pathogen numbers equals lower risk (Chen *et al.* 2003), meaning that exposure to lower
150 numbers of pathogens reduces the risk of contracting foodborne illness. UVC light leaves no
151 harmful chemical residue on food or food contact surfaces, and could be applied prior to
152 packaging or further processing.

153 The USDA FSIS has recently published procedures for adoption of emerging non-thermal
154 food safety technologies including UVC light, that call for evidence of efficacy prior to
155 installation of decontamination equipment in processing facilities (USDA FSIS 2008).
156 Therefore, in order to provide the food processing industry and regulatory agencies information
157 as to the efficacy of UVC Light for food product and food contact surface decontamination the
158 ability for the technology to inactivate *Salmonella* spp., *L. monocytogenes*, and *S. aureus* on the
159 surfaces of a variety of foods, including pork chops, boneless skinless chicken breasts, chicken
160 drumsticks, shell eggs, bratwurst, fat-free frankfurters, Roma tomatoes, Jalapeno peppers, and
161 two types of stainless steel was determined.

162 Sausages (Table 1): Previous research has indicated that UVC light is capable of inactivating
163 1.5-2.0 log of *L. monocytogenes* on the surface of frankfurters using a spot inoculation
164 procedure, and that UVC had no effect on frankfurter color and texture (Sommers *et al.* 2008;
165 Sommers and Geveke 2006). In this study UVC light inactivated 1.4-2.0 log of the three
166 pathogens at 2 J/cm², and 1.8-2.0 of the 3 pathogens at 4 J/cm² on both on fat-free frankfurters.
167 Inactivation of 1.4-2.0 log of the pathogens were obtained at 2 J/cm², and 1.8-2.0 at 4 J/cm² on
168 bratwurst. Use of UVC immediately prior to packaging and application of antimicrobials such as
169 sodium diacetate, potassium lactate, of lauric arginate ester, may enhance inactivation and/or
170 prevent outgrowth of foodborne pathogens (Sommers *et al.* 2008). There was no difference in
171 the UV resistance of the 3 pathogen species when inoculated onto the sausage surfaces, as
172 determined by ANOVA (n=3, $\alpha=0.05$).

173 Raw meat and poultry (Table 1): UVC inactivated between 0.5 and 1.0 log of the 3 pathogen
174 species inoculated onto the surfaces of boneless pork chops, boneless skinless chicken breasts, or
175 chicken drumsticks at UVC doses of 2-4 J/cm². Again, there was no difference in the UV
176 resistance of the 3 pathogens species when inoculated onto raw meat and poultry surfaces as
177 determined by ANOVA. Lyon *et al.* (2007) found obtained a 2 log reduction of
178 *L. monocytogenes* on raw poultry using an inoculum of 10⁹ cfu/ml. Kim *et al.* (2003) reported a
179 0.5 log reduction of *L. monocytogenes* on raw chicken using a dip inoculation similar to what
180 was used in this study. Wong *et al.* (1998) obtained a 1.5-2.0 log reduction of *Escherichia coli*
181 and *Salmonella Senftenberg* on pork muscle and pork skin using inoculation levels of 6 log
182 cfu/cm². Kim *et al.* (2002) found that UVC light could inactivate approximately 1 log of
183 *L. monocytogenes*, *S. Typhimurium*, or *E. coli* O157:H7 on the surfaces of skin on or skin off
184 chicken meat. Stermer *et al.* (1987) was able to inactivate 2 log of microorganisms on raw meat,

185 and found the cut edge of raw meat products was capable of shielding microorganisms from
186 UVC light. Sommers and Geveke (2006) who obtained a 1.5-2.0 log reduction of *L. innocua* on
187 frankfurters, found that UVC inactivated only 0.5 log reduction of the microorganism on turkey
188 ham surfaces. Sumner and others (1996) found a 2-3 log reduction of *S. Typhimurium* on poultry
189 skin following a UVC exposure of 2000 uW/cm²/s.

190 Shell eggs: Rodriguez and Romo (2005) previously reported a 2.5 log reduction of
191 *Salmonella Enterica* on shell eggs that were surface contaminated to a density of approximately
192 10⁶ CFU/g at a UVC treatment of 2,500 μW/cm² for 5 min. Kuo *et al.* (1997) obtained a 2.9-4.6
193 log reductions of *Salmonella Typhimurium* inoculated onto shell eggs using an inoculum of
194 10⁸-10⁹ CFU/ml at UVC intensities of 620 uW/cm² at treatment times of 1.0-7.0 min. In our
195 study log reductions of 0.3-0.5 and 0.8-1.2 of the 3 pathogens inoculated onto shell eggs were
196 obtained at 2 and 4 J/cm², respectively. It should be noted that in this study the eggs were stored
197 for 2 days prior to exposure to ultraviolet light, which may have allowed protective biofilms to
198 be formed. Unlike produce, eggs are subjected to a thermal pasteurization process when made
199 into liquid eggs, or typically cooked by consumers prior to consumption (American Egg Board,
200 2008). UVC may be useful in reducing the need for chemical washes during egg production.

201 Roma Tomatoes and Jalapeno Peppers (Stem off): Yuan *et al.* (2004) obtained a 2.2 log
202 reduction of *Salmonella* spp. surface inoculated onto tomatoes to a density of 10³ CFU/g at
203 maximum UV dose of 25 mW (25 mJ)/cm². The authors were unable to find any reports
204 regarding UVC inactivation of pathogens on Jalapeno peppers by ultraviolet light. In this study a
205 UVC dose of 0.5 J/cm² inactivated 2.6-3.1 log of the 3 pathogens on the surface of Roma
206 tomatoes, while a UVC dose of 4 J/cm² inactivated 3.6-3.8 log. Similar results were obtained for
207 Jalapeno peppers, with 3.0-3.1 log inactivated at 0.5 J/cm², and 3.3-3.8 log inactivated at

208 4.0J/cm². The higher log reductions at 4.0 J/cm² were at the limit of detection for the
209 inoculation, recovery and plating methodology used in this study.

210 Stainless Steel: In this study >5 log reduction of all three pathogens was observed at a UV
211 dose of 0.4 J/cm² as indicates by the lack of recovery of the microorganisms from the
212 electroplated or bead blasted stainless steel coupons. Sterility of the stainless steel coupons
213 cannot be claimed, as no enrichment was performed for the 3 pathogens. Kim *et al.* (2002)
214 obtained >5 log reductions of *L. monocytogenes*, *S. Typhimurium*, and *E. coli* O157:H7
215 following treatment of stainless steel for 3 min at a UVC intensity of 1,500 μW/cm². Results of
216 this study and the Kim *et al.* (2002) study are in agreement regarding the efficacy of UVC for the
217 inactivation of foodborne pathogens on stainless steel.

218

219

CONCLUSIONS

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221 The degree of effectiveness of UVC light to inactivate *L. monocytogenes*, *Salmonella* spp.,
222 and *S. aureus* was observed as follows: stainless steel>Roma tomatoes and Jalapeno peppers>
223 frankfurters and bratwurst > shell eggs > raw meat and chicken. The UVC resistances of the
224 three pathogens were equivalent when inoculated onto the same food or food contact surface.
225 UVC may be an effective means to reduce foodborne pathogen levels on precooked sausages,
226 smooth skinned fruits and vegetables prior to further processing (slicing/dicing), and shell eggs
227 prior to cracking in the production of liquid egg products. The efficacy and utility of UVC for
228 decontamination of raw meat and poultry remains uncertain, although inactivation of spoilage
229 bacteria and the effect on product shelf-life and pathogen proliferation at refrigerated

230 temperatures was not examined. UVC would be an effective technology for decontamination of
231 stainless steel conveyors and surfaces in food production environments.

232

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237

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310

TABLE 1.

311

INACTIVATION (LOG REDUCTION) OF PATHOGENS SURFACE-INOCULATED ONTO VARIOUS FOOD PRODUCTS BY

312

ULTRAVIOLET LIGHT

		0.5 J/cm ²	1 J/cm ²	2 J/cm ²	4 J/cm ²
<i>Salmonella</i> spp.	Fat Free Franks	1.56 (±0.05)a	1.96 (±0.12)a	1.66 (±0.19)a	2.19 (±0.16)b
<i>S. aureus</i>		1.27 (±0.22)a	1.62 (±0.37)a	1.46 (±0.15)a	1.97 (±0.30)b
<i>L. monocytogenes</i>		1.50 (±0.04)a	1.98 (±0.04)b	1.78 (±0.11)b	2.14 (±0.19)b
<i>Salmonella</i> spp.	Bratwurst	1.14 (±0.26)a	1.30 (±0.15)a	1.32 (±0.14)a	1.51 (±0.07)a
<i>S. aureus</i>		1.10 (±0.16)a	1.29 (±0.06)a	1.22 (±0.10)a	1.38 (±0.10)a
<i>L. monocytogenes</i>		1.42 (±0.16)a	1.53 (±0.07)a	1.61 (±0.14)a	1.78 (±0.10)a
<i>Salmonella</i> spp.	Drumsticks	0.39 (±0.10)a	0.37 (±0.14)a	0.54 (±0.06)a	0.45 (±0.04)a
<i>S. aureus</i>		0.42 (±0.17)a	0.37 (±0.08)a	0.42 (±0.10)a	0.42 (±0.17)a
<i>L. monocytogenes</i>		0.48 (±0.02)a	0.35 (±0.03)a	0.65 (±0.13)a	0.63 (±0.07)a
<i>Salmonella</i> spp.	Shell Eggs	0.43 (±0.21)a	0.31 (±0.20)a	0.53 (±0.52)a	0.98 (±0.55)a
<i>S. aureus</i>		0.12 (±0.11)a	0.30 (±0.17)a	0.31 (±0.24)a	0.81 (±0.42)a
<i>L. monocytogenes</i>		0.28 (±0.26)a	0.50 (±0.36)a	0.53 (±0.53)a	1.16 (±0.54)a
<i>Salmonella</i> spp.	Chicken Breast	0.33 (±0.04)a	0.36 (±0.12)a	0.44 (±0.15)a	0.32 (±0.13)a
<i>S. aureus</i>		0.33 (±0.06)a	0.31 (±0.04)a	0.46 (±0.11)a	0.44 (±0.05)a
<i>L. monocytogenes</i>		0.25 (±0.03)a	0.26 (±0.06)a	0.40 (±0.03)a	0.37 (±0.09)a
<i>Salmonella</i> spp.	Pork Chop	0.43 (±0.09)a	0.50 (±0.10)a	0.56 (±0.12)a	0.53 (±0.11)a
<i>S. aureus</i>		0.50 (±0.05)a	0.61 (±0.08)a	0.49(±0.06)a	0.49 (±0.09)a
<i>L. monocytogenes</i>		0.61 (±0.07)a	0.58 (±0.05)a	0.63 (±0.07)a	0.65 (±0.08)a

		0.5 J/cm ²	1 J/cm ²	2 J/cm ²	4 J/cm ²
<i>Salmonella</i> spp.	Roma Tomato	3.08 (±0.07)a	3.36 (±0.02)a	3.51 (±0.02)a	3.82 (±0.05)b
<i>S. aureus</i>		3.13 (±0.10)a	3.63 (±0.07)b	3.60 (±0.13)b	3.62 (±0.03)b
<i>L. monocytogenes</i>		2.59 (±0.30)a	3.43 (±0.13)b	3.55 (±0.08)b	3.60 (±0.10)b
<i>Salmonella</i> spp.	Jalapeno Pepper	3.02 (±0.06)a	3.31 (±0.05)b	3.54 (±0.06)b	3.79 (±0.11)b
<i>S. aureus</i>		3.09 (±0.13)a	3.41 (±0.08)a	3.73 (±0.10)b	3.33 (±0.12)b
<i>L. monocytogenes</i>		3.11 (±0.04)a	3.33 (±0.10)a	3.63 (±0.09)b	3.72 (±0.19)b

313 Results are presented in log reductions per J/cm². The standard error of the mean is in parenthesis. Each experiment was conducted
314 independently 3 times (n=3, $\alpha=0.05$). Within each row values with the same letter are statistically similar as determined by ANOVA.

315

316 TABLE 2.
 317 INACTIVATION (LOG REDUCTION) OF PATHOGENS SURFACE-INOCULATED ONTO STAINLESS-STEEL BY UVC
 318 RADIATION

		0.05 J/cm ²	0.10 J/cm ²	0.20 J/cm ²	0.40 J/cm ²
<i>Salmonella</i> spp.	Electroplated	2.52 (±0.19)	2.70 (±0.21)	3.34 (±0.15)	NR*
<i>S. aureus</i>		1.86 (±0.15)	2.02 (±0.20)	2.58 (±0.16)	NR*
<i>L. monocytogenes</i>		2.27 (±0.14)	2.29 (±0.24)	2.89 (±0.23)	NR*
<i>Salmonella</i> spp.	Bead Blasted	3.05 (±0.32)	3.90 (±0.31)	4.00 (±0.34)	NR*
<i>S.aureus</i>		2.88 (±0.32)	3.60 (±0.45)	4.18 (±0.23)	NR*
<i>L. moncytogenes</i>		3.03 (±0.35)	3.62 (±0.48)	4.63 (±0.21)	NR*

319 *None recovered. Results are presented in log reductions per J/cm². The standard error of the mean is in parenthesis. Each experiment
 320 was conducted independently 3 times (n=3, α=0.05). Within each row values with the same letter are statistically similar as
 321 determined by ANOVA.